SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

(Instr. 4)

Date

()					
OMB Number:	3235-0287				
Estimated average burden					
hours per response:	0.5				

11. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

		Table I - Non-De	erivative Securities Acquired, Disposed of, or Ber	neficially	Owned		
(City)	(State)	(Zip)			Person		
(Street) MIAMI	FL	33178		X	Form filed by Mor	e Reporting Person re than One Report	
	7TH AVENUE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing (Check App	olicable
(Last) (First) (Middle) CARNIVAL CORPORATION		(<i>'</i> ,	3. Date of Earliest Transaction (Month/Day/Year) 02/12/2021		below) below Chief Ethics & Complian		'
1. Name and Address of Reporting Person [*] <u>Anderson Peter C.</u>		Person*	2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC</u> [CUK]		tionship of Reportin all applicable) Director Officer (give title	10% Owr Other (sp) 0% Owner ther (specify
	.6. Form 4 or Form 5 may continue. See L(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940	934	11	per response:	0.5

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
				v	Amount	(A) or (D)	Price	Reported (II Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Trust Shares (beneficial Interest In Special Voting Share) ⁽¹⁾	02/12/2021		F		2,594 ⁽²⁾	D	\$20.2386 ⁽³⁾	69,090	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and 1. Title of 3. Transaction 3A. Deemed 5. Number 8. Price of 9. Number of 10. Expiration Date (Month/Day/Year) Derivative Derivative Conversion Date (Month/Day/Year) Execution Date, Transaction Amount of derivative Ownership or Exercise Price of Derivative Derivative Security (Instr. 3) if any (Month/Day/Year) Code (Instr. 8) Securities Security (Instr. 5) Securities Form: Direct (D) Underlying Derivative Securities Beneficially or Indirect (I) (Instr. 4) Acquired Owned (A) or Disposed of (D) (Instr. 3, 4 Security (Instr. Security Following 3 and 4) Reported Transaction(s)

and 5) Amount Number Date Expiration Date of Code v (A) (D) Exercisable Title Shares

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Represents a surrender of shares to satisfy the tax obligation on the vesting of restricted stock units granted April 9, 2020.

3. This transaction was executed in multiple trades at prices ranging from \$20.17 to \$21.32. The price reported reflects the average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

/s/ Peter C. Anderson	02/17/2021
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** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.