Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, [	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL											
OMB Number: 3235-											
Estimated average burden											
hours per response:	0.5										

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Bernstein David				2. Issuer Name and Ticker or Trading Symbol CARNIVAL PLC [ CUK ]								(Check	c all app Direct	licable)	g Person(s) to I 10% C Other			
	(Fir VAL CORPO V 87TH AV	ORATION	Middle	)	Date of Earliest Transaction (Month/Day/Year) /06/2023							X	below					
(Street) MIAMI (City)	FL (Sta		3178 Zip)		4. If <i>I</i>	Amend	ment, I	Date	e of Original Filed (Month/Day/Year)						vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person			
		Table	I - N	on-Deriva	tive S	Secu	rities	Ac	quire	d, Di	sposed of	, or B	enef	icially	Own	ed		
1. Title of Security (Instr. 3) 2. Transact Date				2. Transaction Date (Month/Day/Y	Execution Date,		·	3. Transaction Code (Instr. 8)  4. Securities A Disposed Of (			Acquired (A) or (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
								ĺ	Code	v	Amount	(A) or (D)	Price	,		ction(s) 3 and 4)		(Instr. 4)
Trust Shares (beneficial Interest in Special Voting Share) <sup>(1)</sup> 02				02/06/202	2023				A <sup>(2)</sup>		16,336(3)	A	,	\$0	10	9,214	D	
Trust Shares (beneficial Interest in Special Voting Share) <sup>(1)</sup> 02/06/				02/06/202	23				F		6,428(4)	D	\$11.6695		102,786		D	
Trust Shares (beneficial Interest in Special Voting Share) <sup>(1)</sup> 02/06/20				02/06/202	23				A <sup>(5)</sup>		63,238(6)	A	\$0		166,024		D	
Trust Shares (beneficial Interest in Special Voting Share) <sup>(1)</sup> 02/06/				02/06/202	)23				F		24,885(4)	D	\$11	\$11.6695		1,139	D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any					5. Number of Derivative		ative rities ired sed	6. Date Exe Expiration I (Month/Day			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Der Sec (Ins	Price of erivative ecurity estr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amou or Numb of Share	er						

## **Explanation of Responses:**

- 1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- 2. Vesting of performance-based restricted stock units ("PBS RSUs") granted in February 2020 pursuant to the Carnival Corporation 2011 Stock Plan which includes shares from the settlement of dividend equivalents accumulated during the PBS RSU restricted period. Each PBS RSU represents one share of Carnival Corporation common stock
- 3. The reporting person was eligible to earn from 0-200% of the target amount of PBS RSUs based upon the extent to which Carnival Corporation & ple's annual adjusted operating income, as further adjusted for certain fuel price changes and currency exchange rate impact for each of the three fiscal years in the 2020-2022 performance period, return on invested capital for 2021-2022, and certain environmental, social & governance metrics, reach or exceed specified performance goals
- 4. Represents shares withheld by the Company to cover taxes associated with vesting of PBS RSUs.
- 5. Vesting of PBS RSUs granted in August 2020 pursuant to the Carnival Corporation 2020 Stock Plan. Each PBS RSU represents one share of Carnival Corporation common stock
- 6. The reporting person was eligible to earn from 0-150% of the target amount of PBS RSUs based upon the extent to which Carnival Corporation & plc's certain specified environmental, social & governance metrics over the 2020-2022 performance period reach or exceed specified performance goals.

/s/ David Bernstein 02/08/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.