SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).		ENT OF CHANGES IN BENEFICIAL OWNERSHIP iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940							
1. Name and Address of Reporting Person* Gearhart Jeffrey J	2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC</u> [CUK]	(Check all applica	,						
	iddle) 3. Date of Earliest Transaction (Month/Day/Year)	X Director Officer (below)	give title Other (spec below)						

<u>Gearhart Jeffrey J</u>			CARNIVAL PLC [CUK]		Director	10% Owner	
	(First)	(Middle) ATION	3. Date of Earliest Transaction (Month/Day/Year) 06/22/2020		Officer (give title below)	Other (specify below)	
3655 N.W. 87TH AVENUE			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ividual or Joint/Group Filing (Check Appli		
(Street)				X	Form filed by One Re	eporting Person	
MIAMI	FL	33178			Form filed by More the Person	nan One Reporting	
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Trust Shares (beneficial Interest In Special Voting Share) ⁽¹⁾	06/22/2020		A ⁽²⁾		12,763	A	\$0	12,763	D		
Trust Shares (beneficial Interest In Special Voting Share) ⁽¹⁾	06/22/2020		A ⁽³⁾		1,746 ⁽⁴⁾	A	\$0	14,509	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		of Derivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	(Instiand s		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	(Instr. 4)				

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Issued pursuant to the Carnival Corporation 2020 Stock Plan. The restriction on the shares lapses on April 9, 2023

3. The grant, requested by the reporting person, is in lieu of his Boards of Directors retainer and committees fees for April to June 2020.

4. Issued pursuant to the Carnival Corporation 2020 Stock Plan. The restriction on the shares lapses on February 12, 2021.

/s/ Jeffrey J. Gearhart 06/24/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.