SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

OMB Number:	3235-0287
Estimated average t	ourden
hours per response:	0.5

		Table I - Non-De	erivative Securities Acquired, Disposed of, or Ben	eficially Ow	ned		
(City)	(State)	(Zip)			3011		
(Street) MIAMI				Line) X For For	rm filed by One Re rm filed by More th rson	porting Person	
3655 N.W. 87TH AVENUE			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable			
(Last) (First) (Middle) CARNIVAL CORPORATION			3. Date of Earliest Transaction (Month/Day/Year) 01/14/2022		ow) General Co	below) nsel	
	ddress of Reporting <u>ENRIQUE</u>	Person*	2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC</u> [CUK]	(Check all a Dire X Off	ector icer (give title	10% Owner Other (specify	
Instruction :	1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	hours per l	esponse: 0.5		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5)		Securities Beneficially		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)
Trust Shares (beneficial Interest In Special Voting Share) ⁽¹⁾	01/14/2022		F		1,395 ⁽²⁾	D	\$22.6352	47,072	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Derivative Expiration Date (Month/Day/Year) Derivative of Indirect Conversion Date (Month/Day/Year) Execution Date, Transaction Amount of derivative Ownership Derivative or Exercise Price of Derivative Security (Instr. 3) if any (Month/Day/Year) Code (Instr. 8) Securities Security (Instr. 5) Securities Form: Direct (D) Beneficial Underlying Derivative Securities Beneficially Ownership or Indirect (I) (Instr. 4) Acquired Owned (Instr. 4) (A) or Disposed of (D) (Instr. 3, 4 Security (Instr. Security Following 3 and 4) Reported Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration Date of Code v (A) (D) Exercisable Title Shares

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Represents shares withheld by the Company to cover taxes associated with vesting of time vested restricted stock units ("TBS") granted January 14, 2019 and includes dividend equivalent shares associated with the release of TBS.

/s/ Enrique Miguez

** Signature of Reporting Person Date

01/19/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.