SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction	1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934						
	• •		or Section 30(h) of the Investment Company Act of 1940						
1. Name and Address of Reporting Person [*] Bernstein David			2. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC</u> [CUK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify					
	(Last) (First) (Middle) CARNIVAL CORPORATION 3655 NW 87TH AVE		3. Date of Earliest Transaction (Month/Day/Year) 01/19/2023	CFO & CAO					
(Street)		33178	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)							
		Table I - Non-De	erivative Securities Acquired, Disposed of, or Ben	eficially Owned					

1.	. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Securities	(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
	Frust Shares (beneficial Interest in Special Voting Share) ⁽¹⁾	01/19/2023		F		13,387(2)	D	\$10.1468	92,878	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Expiration Date (Month/Day/Year) Derivative Derivative Conversion Date (Month/Day/Year) Execution Date, Transaction Amount of derivative Ownership of Indirect Derivative or Exercise Price of Derivative Security (Instr. 3) if any (Month/Day/Year) Code (Instr. Securities Security (Instr. 5) Securities Form: Direct (D) Beneficial 8) Underlying Derivative Securities Beneficially Ownership Acquired Owned or Indirect (I) (Instr. 4) (Instr. 4) (A) or Disposed of (D) (Instr. 3, 4 Security (Instr. Security Following 3 and 4) Reported Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration Date of Code v (A) (D) Exercisable Title Shares

Explanation of Responses:

1. Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation (the "Carnival Corporation Insues Carnival Corporation Common Stock"). The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

2. Represents shares withheld by the Company to cover taxes associated with vesting of time vested restricted stock units granted January 19, 2021.

/s/ David Bernstein

** Signature of Reporting Person Date

01/23/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.