

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL | |
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| OMB Number: | 3235-0104 |
| Estimated average burden hours per response: | 0.5 |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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|---|--|---|--|
| 1. Name and Address of Reporting Person* <u>deynes bettina alejandra</u> _____ (Last) (First) (Middle) <u>C/O CARNIVAL CORPORATION</u> <u>3655 N.W. 87TH AVENUE</u> _____ (Street) <u>MIAMI FL 33178</u> _____ (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) <u>10/11/2023</u> | 3. Issuer Name and Ticker or Trading Symbol <u>CARNIVAL PLC [CUK]</u> | |
| | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Chief Human Resources Officer</u> | 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|
| Trust Shares (beneficial interest in Special Voting Share) ⁽¹⁾ | 31,392 ⁽²⁾⁽³⁾⁽⁴⁾⁽⁵⁾⁽⁶⁾ | D | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|-----------------|---|--|--|---|
| | Date Exercisable | Expiration Date | | | | |
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Explanation of Responses:

- Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (f/k/a P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- Includes 1,597 restricted stock units ("RSUs") granted on January 19, 2021 pursuant to the Carnival Corporation 2020 Stock Plan (the "Plan"). Two-thirds of the original grant of 4,791 RSUs vested on January 19, 2022 and January 19, 2023 and the remaining 1,597 RSUs will vest on the third anniversary date of the grant.
- Includes 2,505 RSUs granted on February 4, 2022 pursuant to the Plan. One third of the original grant of 3,757 RSUs vested on February 15, 2023 and the remaining 2,505 RSUs will vest on a pro rata basis on February 15, 2024 and February 18, 2025.
- Includes 2,470 performance-based restricted stock units ("PBS RSUs") granted on February 21, 2023 pursuant to the Plan. The PBS RSUs will vest pro rata on February 15, 2024 and February 18, 2025. The 2022 Management Incentive Plan bonus payout percentage was applied to the PBS RSU target value to determine the actual PBS RSU grant value.
- Includes 11,993 RSUs granted on April 21, 2023 pursuant to the Plan. The RSUs will vest on a 3-year pro-rata basis on February 15, 2024, February 18, 2025 and February 12, 2026.
- Each RSU and PBS RSU represents a hypothetical interest in one share of Carnival Corporation common stock. The RSUs and PBS RSUs accumulate dividend equivalents and may only be settled in shares. The Compensation Committees approved each grant as a total value to be received in the form of RSUs or PBS RSUs, as applicable, and that the number of RSUs or PBS RSUs was to be determined by dividing the grant value by the closing price of a share of Carnival Corporation common stock on date of grant.

/s/ Bettina Deynes

10/11/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.