SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

to Section 2	box if no longer subject L6. Form 4 or Form 5 may continue. <i>See</i> 1(b).	ST	Filed pi		a) of the Secu	ENEFICIAL OWN irities Exchange Act of 1934 Company Act of 1940		Estim	Number: nated average bur per response:	3235-0287 den 0.5
Anderson (Last) CARNIVAL	ddress of Reporting P <u>Peter C.</u> (First) CORPORATION PTH AVENUE	(Middle	.) .)	2. Issuer Name and T CARNIVAL CO 3. Date of Earliest Tra 02/08/2021	<u>ORP</u> [CC	Ĺ	(Check all ap Direct X Offic below	plicable) ctor eer (give title w)	ng Person(s) to 10% (Other below & Complianc	Owner (specify)
(Street) MIAMI (City)	FL (State)	33178 (Zip)		4. If Amendment, Date	e of Original F	iled (Month/Day/Year)	Line) X Forn	n filed by On n filed by Mo	ip Filing (Check le Reporting Per pre than One Re	son
		Fable I - N	lon-Derivati	ve Securities Ac	cquired, D	isposed of, or Bene	ficially Owr	ned		
1. Title of Security (Instr. 3) 2. Transaction				2A. Deemed	3.	4. Securities Acquired (A)	or 5. Am	ount of	6. Ownership	7. Nature

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	ction	4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(instr. 4)
Common Stock	02/08/2021		S		4,184	D	\$ 21.425 ⁽¹⁾	71,684	D	

		Ta	ble II - Derivat (e.g., pเ					iired, Disp options, d					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction of Code (Instr. De B) Se Ac (A) Dis of (In		6. Date Exercisable and f Expiration Date (Month/Day/Year) (Xonth/Day/Year) (Xonth/Day/Year) (Xonth/Day/Year) (Xonth/Day/Year) (Xonth/Day/Year)		r 6. Date Exercisable and Expiration Date (Month/Day/Year) S		e and unt of rities rlying ative rity (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction was executed in multiple trades at prices ranging from \$21.42 to \$21.43. The price reported reflects the average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

/s/ Peter C. Anderson	02/10/2021
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.